Effects of Editorial Peer Review
A Systematic Review

Tom Jefferson, MD
Philip Alderson, MBChB
Elizabeth Wager, MA
Frank Davidoff, MD

THE USE OF PEERS TO ASSESS THE WORK OF FELLOW SCIENTISTS GOES BACK AT LEAST 200 YEARS.1 It is usually assumed to raise the quality of the end product and to provide a mechanism for rational, fair, and objective decision making. Despite the fact that peer review has such a long history and is so well established, research into its effects is a recent phenomenon. However, the body of original research on the effects of peer review has been growing, and systematic review and synthesis may now be possible.2 This review assesses the effects of processes undertaken as part of editorial peer review of original research studies submitted for paper or electronic publication in biomedical journals.

METHODS

We used Cochrane methods to carry out our review, which will also be published in the Cochrane Database of Methodology Reviews.3 A detailed description of the search strategy, sources, and terms used is available in online Table A (http:/www.jama.com).4-6 We considered for inclusion all types of comparative studies in which some attempt to control for confounding had been made. This was an attempt to widen the criteria to collect as much evidence as possible, without collecting studies in which obvious confounding might explain any observed effect. We considered studies assessing the effects of any stage of the peer review process except those solely concerned with technical editing, which was the subject of another review.7 For example, we looked for studies of the effects of different ways of assigning submissions to assessors and eliciting opinions, decision-making procedures, and methods of feedback to authors.

Two reviewers (T.J. and P.A.) examined all retrieved citations. Studies for possible inclusion were retrieved in full. The same 2 reviewers then examined studies independently against the selection criteria. The same reviewers extracted information on study design and outcomes. We collected descriptive information on study quality, as reported herein. We identified 135 reports of studies that could possibly fulfill our inclusion criteria. Nineteen of these fulfilled our criteria and we excluded the remaining 116 studies from our review.

RESULTS

A summary of the 19 included studies is shown online Table B (http://www.jama.com). A more detailed

Context Editorial peer review is widely used to select submissions to journals for publication and is presumed to improve their usefulness. Sufficient research on peer review has been published to consider a synthesis of its effects.

Methods To examine the evidence of the effects of editorial peer-review processes in biomedical journals, we conducted electronic and full-text searches of private and public databases to June 2000 and corresponded with the World Association of Medical Editors, European Association of Science Editors, Council of Science Editors, and researchers in the field to locate comparative studies assessing the effects of any stage of the peer-review process that made some attempt to control for confounding. Nineteen of 135 identified studies fulfilled our criteria. Because of the diversity of study questions, methods, and outcomes, we did not pool results.

Results Nine studies considered the effects of concealing reviewer/author identity. Four studies suggested that concealing reviewer or author identity affected review quality (mostly positively); however, methodological limitations make their findings ambiguous, and other studies’ results were either negative or inconclusive. One study suggested that a statistical checklist can improve report quality, but another failed to find an effect of publishing another checklist. One study found no evidence that training referees improves performance and another showed increased interrater reliability; both used open designs, making interpretation difficult. Two studies of how journals communicate with reviewers did not demonstrate any effect on review quality. One study failed to show reviewer bias, but the findings may not be generalizable. One nonrandomized study compared the quality of articles published in peer-reviewed vs other journals. Two studies showed that editorial processes make articles more readable and improve the quality of reporting, but the findings may have limited generalizability to other journals.

Conclusions Editorial peer review, although widely used, is largely untested and its effects are uncertain.

Author Affiliations: Health Reviews Ltd, Rome, Italy (Dr Jefferson); UK Cochrane Centre, Oxford, England (Dr Alderson); Sideview, Princes Risborough, England (Ms Wager); and Annals of Internal Medicine, Philadelphia, Pa (Dr Davidoff).

Corresponding Author and Reprints: Tom Jefferson, MD, Reparto Epidemiologia Clinica, Istituto Superiore di Sanità, Viale Regina Elena, 299-00161, Rome, Italy (e-mail: toj1@aol.com).
description will be available in our Cochrane review.2

We included 9 studies assessing the effect of blinding/masking or revealing authors’ and/or reviewers’ identities in some way (eg, exchanging reviews between peer reviewers) on the quality of external reviews.8-10 All of the studies in this group were randomized controlled trials. Five studies11-15 reported no apparent effect in quality of reviews, time taken to review, or tone of reviews. The 4 studies8,10,16 that suggested that concealing reviewer or author identity affected review quality had methodological limitations that make their outcomes ambiguous. The most interesting methodological issue we identified in the design of the studies was the consistent difficulty in ensuring robust blinding procedures. This was probably a reflection of the relatively small world of editorial peer review, especially when applied to specialist areas of knowledge. Overall, most of the studies were inconclusive because blinding was ineffective and confidence intervals were not sufficiently narrow to allow firm conclusions to be made.

We included 2 studies that assessed the effects of submission checklists on outcomes.17,18 The study by Gardner and Bond18 shows some benefit from using a statistical checklist, although this finding may be of limited generalizability given the setting of the study (BMJ) and its small size. The other study found that publication of a checklist for economic studies did not appear to improve submissions.17

Two studies assessed the effects of the media used by journals to communicate with reviewers. One found that posting to the Internet may benefit authors but does not appear to affect the quality of reviews.19 The randomized controlled trial by Neuhauser and Koran20 showed that warning reviewers of an impending review by telephone lengthened total turnaround time, although it shortened review time.

Two studies assessed the effects of training reviewers.21,22 The results were ambiguous in the study by Callaham et al,22 showing no obvious effect, in contrast with the results of Strayhorn et al,22 which showed that intrarater reliability increased. The open design of both studies makes minimization of biases impossible, and results are therefore difficult to interpret. Generalizability of results beyond the context of the specific interventions tested is questionable.

A randomized controlled trial by Ernst and Resch13 assessed the presence and effects of reviewer bias. They found no evidence of reviewer bias toward an unconventional treatment. Despite its randomized design, bias may distort the results of this study (the response rate was 61%).

We included 1 study assessing the effects of peer review on study validity,24 which failed to show any difference, although the small size and nonrandomized design of the study again makes generalizability of its results uncertain.

Two studies assessed the effects of peer review on study report quality.25,26 Both studies showed a beneficial effect, but results may again have limited generalizability because of atypical settings (both journals studied are well resourced and keen on improving quality).

In summary, the quality of randomized studies was superior to that of trials with open design. (Quality refers to the ability of a study to answer its research question.) Overall, the small numbers of reviews and reviewers involved, potentially atypical settings (with a few major journals being the object of many studies), and many methodological weaknesses make both the internal and external validity of these studies difficult to interpret.

**COMMENT**

Our review was limited to evidence about peer review as practiced by biomedical journals. We discovered remarkably few well-designed studies assessing the effects of this process. Of the studies identified, the majority were focused on specific editorial processes, such as masking of reviewers and authors or use of checklists. Very few examined the broader effects of peer review. We assess the implications of this in a separate study.27 The only study that attempted to address broader issues24 is difficult to interpret because of methodological weaknesses and the likelihood of limited generalizability. The results of our systematic review are consistent with those of an earlier descriptive review.2

Given the widespread use of peer review and its importance, it is surprising that so little is known of its effects. However, the research needed to address these questions would require a well-funded and coordinated effort involving several sectors of the scientific community as well as the cooperation of large numbers of authors and editors, and the methodological issues in conducting proper studies of the subject are daunting.

The 2 principal functions of peer review, filtering out incorrect or inadequate work and improving the accuracy and clarity of published reports, directly reflect the 2 virtues that Francis Bacon in 1605 attributed to doubt: guarding “against errors” and causing issues that “would have been passed by lightly without intervention” to be “attentively and carefully observed.” As such, the doubts contributed by peer review are an intrinsic and essential part of science, layered over the critical reviews that take place during the process of doing the work. Future research in biomedical peer review needs to recognize these basic concepts and must consider a number of specific underlying methodological issues. Among these are both the positive contributions of peer review and its abuses, the complexity of biomedical science, and the incremental nature of scientific progress. This is based on rare major discoveries and very frequent minor ones, clarifying patterns of life and disease and society’s response to them. Moreover, most studies of biomedical journal peer review have been concerned with manuscripts that were accepted for publication. A number of important questions about peer review can only be answered, however, by studying rejected manuscripts as well as those that are accepted.

Until such research is undertaken, peer review should be regarded as an untested process with uncertain outcomes.
Measuring the Quality of Editorial Peer Review

Tom Jefferson, MD
Elizabeth Wager, MA
Frank Davidoff, MD

A FUNDAMENTAL TENET OF ALL scientific and scholarly work is that every aspect of it must be subjected to critical appraisal; only those findings and principles that withstand such appraisal become established. Although much appraisal occurs as work is in progress (and some after it has been published), work that is submitted for publication undergoes critical appraisal.

Author Affiliations: Health Reviews Ltd, Rome, Italy (Dr Jefferson); Sideview, Prince Ribusborough, England (Ms Wager); Annals of Internal Medicine, Philadelphia, Pa (Dr Davidoff).

Corresponding Author and Reprints: Ms Liz Wager, Sideview, Station Road, Prince Risbor-ough, HP2 9DE, UK, (e-mail: liz@sideview.demon.co.uk)

Measuring the Quality of Editorial Peer Review

Context The quality of a process can only be tested against its agreed objectives. Editorial peer-review is widely used, yet there appears to be little agreement about how to measure its effects or processes.

Methods To identify outcome measures used to assess editorial peer review as performed by biomedical journals, we analyzed studies identified from 2 systematic reviews that measured the effects of editorial peer review on the quality of the output (ie, published articles) or of the process itself (eg, reviewers’ comments).

Results Ten studies used a variety of instruments to assess the quality of articles that had undergone peer review. Only 1, nonrandomized study compared the quality of articles published in peer-reviewed and non–peer-reviewed journals. The others measured the effects of variations in the peer-review process or used a before-and-after design to measure the effects of standard peer review on accepted articles. Eighteen studies measured the quality of reviewers’ reports under different conditions such as blinding or after training. One study compared the time and cost of different review methods.

Conclusions Until we have properly defined the objectives of peer-review, it will remain almost impossible to assess or improve its effectiveness. The research needed to understand the broader effects of peer review poses many methodologic problems and would require the cooperation of many parts of the scientific community.
### Table A. Search Strategy for Identification of Studies

#### Databases Searched
- Australasian Medical Index, 1980-2000 (searched November 2000)
- Best Evidence 4, 2000 (searched July 2000)
- Cochrane Library, 2000, issue 2 (searched November 2000, including Cochrane Controlled Trials, Cochrane Database of Systematic Reviews, Cochrane Methods Register, and Database of Abstracts of Reviews of Effectiveness)
- HealthSTAR, 1975-1999 (searched December 1999)
- MEDLINE, 1966–February 2000 (searched by Anne Lusher, United Kingdom Cochrane Center, for the Cochrane Methodology Register, February 2000)
- National Research Register (searched March 2000)
- PsycLIT, 1887-2000 (searched February 2000)

#### Other Sources Searched
- Reference lists of relevant articles
- JAMA special issues on peer review
- Posting on European Association of Science Editors and World Association of Medical Editors Web sites (http://www.ease.org.uk and http://www.wame.org)
- Locknet Web site (last searched September 2000)

*MEDLINE was searched for Medical Subject Heading exploded and text term peer review; all other databases were searched for text words peer review.*
Table B. Descriptive Summary of Studies Included in the Review

<table>
<thead>
<tr>
<th>Reference</th>
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<tr>
<td>McNutt et al, 1990</td>
<td>Effect of blinding on review quality</td>
<td>127 Submissions to Journal of General Internal Medicine</td>
<td>RCT</td>
<td>One reviewer for each MS knew authors’ identity; the other was masked. Signing was optional. Editors and authors rated review quality.</td>
<td>No association between signing and review quality was found, but quality of reviews was higher for blinded manuscripts.</td>
<td>Success of blinding was tested by asking reviewers and editors to guess authors’ identity.</td>
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<td>Fisher et al, 1994</td>
<td>Whether reviewers are biased toward well-known authors</td>
<td>57 Submissions to Journal of Developmental and Behavioral Pediatrics</td>
<td>RCT</td>
<td>Editors assessed quality of blinded and nonblinded reviews. Masked reviewers were asked to guess author identity.</td>
<td>Reviewers correctly guessed author identity in 46% of cases. Blinded reviewers gave better scores to the work of authors with longer publication records. The authors concluded that blinded review produces less-biased reviews.</td>
<td>Small RCT with robust randomization; the weakness of the blind raises questions about its feasibility in small specialties</td>
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<td>Jadad et al, 1996</td>
<td>Effect of blinding on rating of RCTs</td>
<td>36 Reports of RCTs in the field of pain control</td>
<td>RCT</td>
<td>Seven blinded reviewers made assessments and 7 did so under open conditions.</td>
<td>Blinded assessment produced more consistent results than open. Blinded reviewers rated articles more severely, resulting in lower-quality scores.</td>
<td>Small RCT with robust randomization but no attempt to measure success of blinding</td>
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<td>van Roon et al, 1998</td>
<td>Effect of knowing coreviewers’ and authors’ identities on review quality</td>
<td>527 Submissions to BMJ</td>
<td>RCT</td>
<td>Reviewers randomized to be blinded or unblinded to coreviewers’ and authors’ identities; review quality assessed on 7-item 5-point scale and time taken</td>
<td>Knowledge of one or both reviewers’ or authors’ identities made no difference to review quality, nature of recommendations, or time taken. Blinding was unsuccessful in 42% due to small research fields and authors referencing their own work.</td>
<td>Well-designed and conducted study; hampered by difficulty of successful blinding</td>
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<tr>
<td>Godlee et al, 1998</td>
<td>Effects of blinding reviewers to authors’ identities and of signing reports</td>
<td>221 Reviews of submissions to BMJ</td>
<td>4-Arm RCT with different types of disclosure of reviewers and authors plus a control arm</td>
<td>Review quality based on ability to detect errors inserted in manuscript</td>
<td>No effect of open peer review on review quality was shown. Most reviewers failed to detect the majority of most errors inserted into manuscript.</td>
<td>Well-designed study but 53% reviewer response rate raises the issue of bias</td>
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<tr>
<td>Justice et al, 1998</td>
<td>Effect of masking authors’ identity on review quality</td>
<td>118 Submissions to Annals of Emergency Medicine, Annals of Internal Medicine, JAMA, Obstetrics and Gynecology, and Ophthalmology</td>
<td>RCT</td>
<td>Normal practice (blinded review) vs one reviewer blinded to author’s identity and the other unblinded; review quality judged on 4-item, 5-point scale</td>
<td>Blinding had no effect on review quality. Submissions of well-known authors were more difficult to blind successfully (blinding success was 68%).</td>
<td>Well-designed study with robust randomization; relatively low blinding success rate cannot exclude possibility of bias</td>
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<td>van Roon et al, 1999</td>
<td>Effect of open peer review on quality; reviewers’ acceptance of open review</td>
<td>113 Submissions to BMJ</td>
<td>RCT</td>
<td>The identity of consenting reviewers was revealed when sending the review to the authors.</td>
<td>Asking reviewers’ consent to identification did not affect review quality, time taken, or recommendations to publish; however, a significant number of reviewers objected to open review.</td>
<td>Well-designed and conducted study with a robust randomization process</td>
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<td>Das Sinha et al, 1999</td>
<td>Whether non-Indians produce better reviews</td>
<td>78 Submissions to Nature Medicine Journal of India</td>
<td>Single-blind RCT</td>
<td>Each submission randomly assigned to an Indian and a non-Indian reviewer; reviews assessed by editors unaware of reviewer nationality</td>
<td>Non-Indian reviewers produced better-quality reviews than Indians. Exchanging reviews among reviewers did not result in fewer errors.</td>
<td>Robust randomization, but no details given on 22 submissions that could not be included in the study</td>
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<tr>
<td>Walsh et al, 2000</td>
<td>Feasibility of open peer review</td>
<td>Reviews of 408 submissions to British Journal of Psychiatry</td>
<td>RCT of signed vs unsigned reviews</td>
<td>All reviewers were aware of authors’ identity. Review quality and tone were assessed.</td>
<td>Signed reviews were of higher quality and more courteous. The signed group spent more time on reviews and were also less likely to recommend rejection. The authors concluded that an open system is feasible.</td>
<td>Randomization methods unclear (intervention and control groups are uneven; n = 186); blinding appeared robust</td>
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Table B. Descriptive Summary of Studies Included in the Review* (cont)

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<td><strong>Studies Assessing Effects of Submission Checklists on Outcomes</strong></td>
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<td>Jefferson et al&lt;sup&gt;17&lt;/sup&gt; 1998</td>
<td>Effect of publishing guidelines on reviewing economic submissions</td>
<td>192 Submissions to BMJ and The Lancet</td>
<td>Before-and-after study with economic submissions to The Lancet acting as a control</td>
<td>Submission quality was assessed using 36-item checklist and questionnaire to editors.</td>
<td>Publishing guidelines on economic submissions had no apparent impact on submission quality but helped editors manage submissions</td>
<td>The open design and number of untraceable submissions makes interpretation difficult.</td>
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<td>Gardner and Koran&lt;sup&gt;20&lt;/sup&gt; 1990</td>
<td>Usefulness of checklist for statistical assessment of submitted manuscripts</td>
<td>45 Submissions to BMJ</td>
<td>Before-and-after study 12- and 24-item referee checklists</td>
<td>Only 5 submitted manuscripts (11%) were methodologically acceptable of 38 (84%) after publication. Using a checklist can increase the statistical quality of published studies.</td>
<td>Generalizability of results may be limited. Lack of a control arm means that bias or a Hawthorne effect cannot be excluded.</td>
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<td><strong>Studies Assessing Effects of Communication Media on Outcomes</strong></td>
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<tr>
<td>Bingham et al&lt;sup&gt;19&lt;/sup&gt; 1998</td>
<td>Effect of open Internet peer review on review quality</td>
<td>56 Submissions accepted by Medical Journal of Australia</td>
<td>Open comparative study</td>
<td>Comments were invited on manuscripts and referees’ reports and posted on journal’s Web site.</td>
<td>Few readers submitted comments to Web site, but authors changed 7 manuscripts in response. No change in quality of commissioned reviews was noted.</td>
<td>Descriptive study of uncertain generalizability</td>
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<td>Neuhauser and Koran&lt;sup&gt;21&lt;/sup&gt; 1993</td>
<td>Effect of telephone warning regarding review turnaround time</td>
<td>177 Submissions to Medical Care</td>
<td>RCT</td>
<td>Submissions were randomized to reviewers who received phone warning before review was sent vs those who did not.</td>
<td>Calling reviewers before sending out manuscript increased costs and total turnaround time, although warned reviewers returned reviews more quickly.</td>
<td>Unclear randomization method; generalizability may be limited.</td>
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<td><strong>Studies Assessing Effects of Training on Outcomes</strong></td>
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<tr>
<td>Callaham et al&lt;sup&gt;22&lt;/sup&gt; 1998</td>
<td>Effect of attending voluntary training workshops on review quality</td>
<td>39 Reviewers of Annals of Emergency Medicine</td>
<td>Controlled trial</td>
<td>Editors assessed quality of reviews from reviewers who attended a 4-hour training workshop; reviewers matched for pretraining review quality and experience, and 220 unmatched controls.</td>
<td>There was no significant change in any performance measure after reviewers attended a training workshop.</td>
<td>The self-selected nature of attendees at the training workshops and the absence of blinding raise the issue possibility of bias.</td>
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<tr>
<td>Strayhorn et al&lt;sup&gt;23&lt;/sup&gt; 1993</td>
<td>Effect of introducing new evaluation scales on review quality</td>
<td>568 Reviews for Journal of the American Academy of Child and Adolescent Psychiatry</td>
<td>Before-and-after study</td>
<td>New scales had more separate discrete items and training manuals.</td>
<td>Interner reliability increased after the introduction of the evaluation scales.</td>
<td>The open nature of the design makes the interpretation of its findings difficult.</td>
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<tr>
<td><strong>Studies Assessing Presence and Effects of Reviewer Bias on Outcomes</strong></td>
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<tr>
<td>Ernst and Resch&lt;sup&gt;24&lt;/sup&gt; 1999</td>
<td>Reviewer bias toward unconventional drugs</td>
<td>130 Reviews from physicians invited to review for a fictitious journal</td>
<td>Double-blind RCT</td>
<td>Two versions of a letter to the editor were reviewed. Versions were identical except for study drug (metoprolol or beef spleen cell extract).</td>
<td>No evidence of reviewer bias toward unconventional drug but poor interrater reliability overall.</td>
<td>Robust randomization but few details of blinding; reviewer response rate was 61%</td>
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<tr>
<td>Elvik&lt;sup&gt;25&lt;/sup&gt; 1998</td>
<td>Whether peer-reviewed journals publish more valid reports than non–peer-reviewed journals</td>
<td>123 Road safety evaluation studies</td>
<td>Open comparison study</td>
<td>Quality of 44 studies in peer-reviewed journals was compared with 79 similar reports published in non–peer-reviewed journals.</td>
<td>No significant difference found between validity of studies published in peer-reviewed and non–peer-reviewed journals. Studies from authors affiliated with universities obtained higher average validity scores.</td>
<td>This is the only identified study addressing the effects of peer review on validity. The author did attempt to adjust for potential confounders.</td>
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* (continued)
Table B. Descriptive Summary of Studies Included in the Review* (cont)

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<tr>
<td>Goodman et al,[25] 1994</td>
<td>Effects of peer review and editing on quality</td>
<td>111 Manuscripts accepted by Annals of Internal Medicine</td>
<td>Before-and-after study</td>
<td>Expert reviewers assessed manuscript quality using a 34-item checklist.</td>
<td>Peer review and editing improved the quality of manuscripts overall and particularly in the use of confidence intervals, discussion of study limitations, and appropriateness of conclusions.</td>
<td>The study relates to a journal that invests heavily in editing. Expert reviewers’ views may not reflect those of ordinary readers.</td>
</tr>
<tr>
<td>Pierie et al,[26] 1996</td>
<td>Effects of peer review and editing on quality</td>
<td>50 Submissions to the Dutch Journal of Medicine</td>
<td>Retrospective study</td>
<td>Volunteer assessors drawn from journal readers compared the quality of submitted, accepted, and published versions of published articles. Each assessor received a pair of typescripts and was unaware of their status.</td>
<td>Quality scores improved between submission and acceptance and between acceptance and publication, especially for items relating to style and readability.</td>
<td>Volunteer assessors (who responded to an advertisement in the journal) not to be representative of all readers</td>
</tr>
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*RCT indicates randomized controlled trial.